

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 109779.134)

Applicant(s):

Newnam, et al.

Serial No.:

09/931,590

Examiner: Not Yet Assigned

Filed:

August 16, 2001

Group Art Unit: 2155

For:

SYSTEM AND METHOD FOR RECORDING AND PLAYING

BACK INTERACTIVE CONTENT DURING A BROADCAST EVENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313, 1450 on the date set forth below.

3126/af Date

Sarah I Farris

RECEIVED

MAR 3 0 2004

FOURTH INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Commissioner:

Applicants and their legal representatives hereby make of record on the attached PTO Form-1449 the following publications, which are known to them and considered warranting disclosure under 37 C.F.R. §1.56. Copies of each of these publications are enclosed.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to patentability or that the listed documents are prior art. If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

09/931,590 Newnam, et al.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits and is therefore submitted as both timely and proper; therefore, no fees are believed to be due.

The Commissioner, however, is hereby authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Date: 3-26-64

Michael A. Diener Registration No. 37,122 Attorney for Applicant

Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109

Tel: (617) 526-6454 Fax: (617) 526-5000

C:\NrPortbl\Boston\ANTHOS\1398150_1.DOC



2153



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No.: 109779.134)

pplicant(s):

Newnam, et al.

Serial No.:

09/931,590

Examiner: Not Yet Assigned

Filed:

August 16, 2001

Group Art Unit: 2155

For:

SYSTEM AND METHOD FOR RECORDING AND PLAYING

BACK INTERACTIVE CONTENT DURING A BROADCAST EVENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below.

3/26/af

Date

Sarah L. Farris

TRANSMITTAL LETTER

RECEIVED

Commissioner:

Enclosed for filing are the following documents:

MAR 3 0 2004 Technology Center 2100

- 1.) Fourth Information Disclosure Statement;
- 2.) Form PTO 1449, including 3 References; and
- 3.) Copy of Annex to Form 206 Partial International Search; and
- 4.) Return Postcard.

Please charge any deficiency or credit any overpayment in connection with this matter to Deposit account No. 08-0219.

Respectfully submitted,

Date: 3-26-04

Michael A. Diener Reg. No. 37,122

Attorney for Applicants

Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109

Tel: (617) 526-6454 Fax: (617) 526-5000

BOSTON 1398171v1

Subt. Form PTO-1449 INFORMATION DISCLOSURE	Docket Number 109779.134	Application Number 09/931,590	
IN AN APPLICATION (Use several sheets if necessary)	Applicant Newnam, et al.		
(Use several sheets if necessary)	Filing Date	Group Art Unit	
PIENT & TRANSPORCE 1 OF 1	August 16, 2001	2155	

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,006,256	12/21/99	Zdepski	709	217	
	US 2001/0001160	5/10/01	Shoff, et al.	725	51	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSI YES	ATION NO
	00/78043	12/21/00	WO				

Other Documents (Including A	author, Title, Date Pertinent Pages, Etc.)

RECEIVED

MAR 3 0 2004

Technology Center 2100

EXAMINER	DATE CONSIDERED
	1

EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.